

# Bank of Baroda - Shareholding Pattern as on 31.12.2016

## Format of Holding of Specified securities

1. Name of Listed Entity: BANK OF BARODA
2. Scrip Code/Name of Scrip/Class of Security: 532134 (BSE), BANKBARODA (NSE), EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
  - a. if under 31(1)(b) then indicate the report for quarter ending 31/12/2016
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a Whether the Listed Entity has issued any partly paid up shares		NO
b Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d Whether the Listed Entity has any shares in locked-in?	YES	
e Whether any shares held by promoters are pledge or otherwise encumbered?		NO

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:



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For Bank of Baroda  
  
कंपनी सचिव  
Company Secretary

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Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of	No.	As a % of total	No.		As a % of total
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	1	1364940578	0	0	1364940578	59.24	1364940578	0	1364940578	59.24	0	59.24	197878083	14.50	0	0.00	1364940578
(B)	Public	308158	939219020	0	0	939219020	40.76	939219020	0	939219020	40.76	0	40.76	0	0.00	NA	NA	905239507
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
<b>Total:</b>		<b>308159</b>	<b>2304159598</b>	<b>0</b>	<b>0</b>	<b>2304159598</b>	<b>100.00</b>	<b>2304159598</b>	<b>0</b>	<b>2304159598</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>197878083</b>	<b>8.59</b>	<b>0</b>	<b>0.00</b>	<b>2270180085</b>



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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									Class X	Class Y	Total			No.	As a % of	No.	As a % of		
																			Total as a % of (IX)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)						
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Central Government/State Government(s)		1	1364940578	0	0	1364940578	59.24	1364940578	0	1364940578	59.24	0	59.24	197878083	14.50	0	0.00	1364940578
	PRESIDENT OF INDIA		1	1364940578	0	0	1364940578	59.24	1364940578	0	1364940578	59.24	0	59.24	197878083	14.50	0	0.00	1364940578
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(1)</b>		<b>1</b>	<b>1364940578</b>	<b>0</b>	<b>0</b>	<b>1364940578</b>	<b>59.24</b>	<b>1364940578</b>	<b>0</b>	<b>1364940578</b>	<b>59.24</b>	<b>0</b>	<b>59.24</b>	<b>197878083</b>	<b>14.50</b>	<b>0</b>	<b>0.00</b>	<b>1364940578</b>
(2)	Foreign																		
(a)	Individuals (Non-Resident)																		
(b)	Individuals/Foreign Individuals		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		<b>1</b>	<b>1364940578</b>	<b>0</b>	<b>0</b>	<b>1364940578</b>	<b>59.24</b>	<b>1364940578</b>	<b>0</b>	<b>1364940578</b>	<b>59.24</b>	<b>0</b>	<b>59.24</b>	<b>197878083</b>	<b>14.50</b>	<b>0</b>	<b>0.00</b>	<b>1364940578</b>



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Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as	No.	As a %	No.		As a % of
									Class X	Class Y	Total								
(1)	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)		(xiii)	(xiv)		
(1)	Institutions																		
(a)	Mutual Funds		200	200745025	0	0	200745025	8.71	200745025	0	200745025	8.71	0	8.71	0	0.00	NA	NA	200739025
	HDFC Mutual Fund (HDFC TRUSTEE COMPANY LIMITED A/C HDFC GROWTH FUND)	AAATH1809A	35	95672827	0	0	95672827	4.15	95672827	0	95672827	4.15	0	4.15	0	0.00	NA	NA	95672827
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds		1	59428	0	0	59428	0.00	59428	0	59428	0.00	0	0.00	0	0.00	NA	NA	59428
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors		250	268432930	0	0	268432930	11.65	268432930	0	268432930	11.65	0	11.65	0	0.00	NA	NA	268378930
	COPTHALL MAURITIUS INVESTMENT LIMITED	AAACC4303M	1	24078680	0	0	24078680	1.05	24078680	0	24078680	1.05	0	1.05	0	0.00	NA	NA	24078680
(f)	Financial Institutions/Banks		26	2134282	0	0	2134282	0.09	2134282	0	2134282	0.09	0	0.09	0	0.00	NA	NA	2126782
(g)	Insurance Companies		45	285259770	0	0	285259770	12.38	285259770	0	285259770	12.38	0	12.38	0	0.00	NA	NA	285259770
	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	39	269260497	0	0	269260497	11.69	269260497	0	269260497	11.69	0	11.69	0	0.00	NA	NA	269260497
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																		
	OVERSEAS CORPORATE BODIES		3	110000	0	0	110000	0.00	110000	0	110000	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Sub Total (B)(1)</b>		<b>525</b>	<b>756741435</b>	<b>0</b>	<b>0</b>	<b>756741435</b>	<b>32.84</b>	<b>756741435</b>	<b>0</b>	<b>756741435</b>	<b>32.84</b>	<b>0</b>	<b>32.84</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>756563935</b>
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Sub Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>0</b>
(3)	Non-institutions																		
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		294637	108105535	0	0	108105535	4.69	108105535	0	108105535	4.69	0	4.69	0	0.00	NA	NA	81910027
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		15	4110428	0	0	4110428	0.18	4110428	0	4110428	0.18	0	0.18	0	0.00	NA	NA	4110428
(b)	NBFCs Registered with RBI		6	116795	0	0	116795	0.01	116795	0	116795	0.01	0	0.01	0	0.00	NA	NA	116795
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																		
	FOREIGN NATIONALS		1	5000	0	0	5000	0.00	5000	0	5000	0.00	0	0.00	0	0.00	NA	NA	5000
	EMPLOYEES		2520	2542624	0	0	2542624	0.11	2542624	0	2542624	0.11	0	0.11	0	0.00	NA	NA	89124
	UNIT TRUST OF INDIA		1	500	0	0	500	0.00	500	0	500	0.00	0	0.00	0	0.00	NA	NA	0
	NRI NON-REPATRIATION		761	745830	0	0	745830	0.03	745830	0	745830	0.03	0	0.03	0	0.00	NA	NA	745830
	CLEARING MEMBERS		317	15586755	0	0	15586755	0.68	15586755	0	15586755	0.68	0	0.68	0	0.00	NA	NA	15586755
	NON RESIDENT INDIANS		3625	8964446	0	0	8964446	0.39	8964446	0	8964446	0.39	0	0.39	0	0.00	NA	NA	4579446
	BODIES CORPORATES		2008	31735372	0	0	31735372	1.38	31735372	0	31735372	1.38	0	1.38	0	0.00	NA	NA	30967867
	TRUSTS		36	8734810	0	0	8734810	0.38	8734810	0	8734810	0.38	0	0.38	0	0.00	NA	NA	8734810
	H U F		3706	1829490	0	0	1829490	0.08	1829490	0	1829490	0.08	0	0.08	0	0.00	NA	NA	1829490
	<b>Sub Total (B)(3)</b>		<b>307633</b>	<b>182477585</b>	<b>0</b>	<b>0</b>	<b>182477585</b>	<b>7.92</b>	<b>182477585</b>	<b>0</b>	<b>182477585</b>	<b>7.92</b>	<b>0</b>	<b>7.92</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>148675572</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>		<b>308158</b>	<b>939219020</b>	<b>0</b>	<b>0</b>	<b>939219020</b>	<b>40.76</b>	<b>939219020</b>	<b>0</b>	<b>939219020</b>	<b>40.76</b>	<b>0</b>	<b>40.76</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>905239507</b>



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Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares	No.	As a % of total Shares held		
									Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Total Non-Promoter-Non Public Shareholding (C) = (C1)+(C2)</b>		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0



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For Bank of Baroda

*(Handwritten Signature)*

कंपनी सचिव  
Company Secretary