



बैंक ऑफ़ बड़ौदा

Bank of Baroda

Central Back Office D. P. Operations, UTI Tower, 2nd Floor, G N Block, Bandra Kurla Complex, Mumbai - 400 051.

CDSL

Application Form For Opening A Demat Account

(To be filled by the Depository Participant)

INDIVIDUAL NRI FOREIGN NATIONAL

Sr. No. _____

Application No.	DP Internal Reference No.	Date	D	D	M	M	Y	Y	Y	Y
DP ID	1	3	0	1	8	7	0	0	Client ID	

I / We request you to open a Demat Account in our name as per the following details :

Sole / First Holder's Details (To be filled by the applicant in Block Letters in English)

First Name										
Middle Name										
Last Name										
Father / Husband Name										
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other						Suffix			
Correspondence Address (Please attach proof)										
City					State					
Country					PIN					
Telephone No.					Mobile No.					
PAN					Fax No.					
Permanent Address (Attach proof if different from Correspondence Address)										
City					State					
Country					PIN					
Telephone No.					Fax No.					
Date of Birth	D	D	M	M	Y	Y	Y	Y	E-mail ID	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)									
Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female									
Occupation	Service : <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify)									
Nature of business : (Products/Services provided)										
Financial Details :	Income Range per annum : <input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,001 to Rs. 2,00,000 <input type="checkbox"/> Rs. 2,00,001 to Rs. 5,00,000 <input type="checkbox"/> More than Rs. 5,00,000									
Additional Facility Details :										
SMS Alert Facility	<input type="checkbox"/> Yes, Mobile No. +91 _____ If yes, refer to Terms & Conditions given in Annexure - A and submit the same duly signed by all account holder/s.								<input type="checkbox"/> NO	
easi	<input type="checkbox"/> Yes, If yes, please register yourself for "easi" facility on CDSL's website www.cdslindia.com and submit your registration form to Branch DP duly signed by account holder/s or contact your Branch DP for details. (Facility through CDSL's website : www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online.)								<input type="checkbox"/> NO	

Joint Holders - Second Holder's Details

First Name											
Middle Name											
Last Name											
Father / Husband Name											
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other								Suffix		
Permanent Address (Please attach proof)											
City							State				
Country							PIN				
PAN							TEL No.				
Date of Birth	D	D	M	M	Y	Y	Y	Y	E-mail ID		
Nationality	<input type="checkbox"/> Indian				<input type="checkbox"/> Others (specify)						
Sex	<input type="checkbox"/> Male				<input type="checkbox"/> Female						
Occupation	Service : <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify)										
Nature of business : (Products/services provided)											
Financial Details :	Income Range per annum : <input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,001 to Rs. 2,00,000 <input type="checkbox"/> Rs. 2,00,001 to Rs. 5,00,000 <input type="checkbox"/> More than Rs. 5,00,000										

Joint Holders - Third Holder's Details

First Name											
Middle Name											
Last Name											
Father / Husband Name											
Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other								Suffix		
Permanent Address (Please attach proof)											
City							State				
Country							PIN				
PAN							TEL No.				
Date of Birth	D	D	M	M	Y	Y	Y	Y	E-mail ID		
Nationality	<input type="checkbox"/> Indian				<input type="checkbox"/> Others (specify)						
Sex	<input type="checkbox"/> Male				<input type="checkbox"/> Female						
Occupation	Service : <input type="checkbox"/> Central Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify)										
Nature of business : (Products/services provided)											
Financial Details :	Income Range per annum : <input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,001 to Rs. 2,00,000 <input type="checkbox"/> Rs. 2,00,001 to Rs. 5,00,000 <input type="checkbox"/> More than Rs. 5,00,000										

Type of Account (Please tick whichever is applicable)

Status	Sub-Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Others (specify)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify)
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)	
I / We instruct the DP to receive each and every credit in my / our account		[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly	
Do you wish to receive dividend / interest directly in to your bank account given below through ECS ?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Bank Code (9 digit MICR Code)									
Bank Name									
Branch									
Bank Address									
City		State		Country		PIN			
Account number									
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Cash Credit <input type="checkbox"/> Others (specify)								

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
 - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

I/We have read the terms & conditions of DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			
Passport size Photograph	(Please sign across the Photograph)	(Please sign across the Photograph)	(Please sign across the Photograph)

**(Signatures should be preferably in black ink).
(In case of minor holder, photography of guardian has to be affixed along with minor's photograph.)**

Name*	_____
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc, should be mentioned above.	

In - Person verification by Branches DP of Bank of Baroda

Signature/s verified. Identity of the applicant/s verified and signature/s obtained in my presence.	
Name / Designation / Signature Under Stamp of Bank of Baroda with Signature No. / EC No. Place : _____ Date : _____	

Details of Guardian (If sole Holder is a minor)

First Name							
Middle Name							
Last / Search Name							
Relationship with the applicant							
Correspondence Address							
City				State			
Country				PIN			
Telephone No.	Fax No.			Mobile No.			
PAN							
E-mail ID							

For NRIs

Foreign Address							
City				State			
Country				PIN			

.....
(Perforated Card)

DP ID	1	3	0	1	8	7	0	0	Client ID								
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	First/Sole Holder	Second Holder	Third Holder
Name			
Specimen Signatures			

===== (Please Tear Here) =====

(To be filled by the Depository Participant)

Acknowledgement Receipt

Application No. :

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of the Sole / First Holder / Second Joint Holder / Third Joint Holder	
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Date :

Branch DP Seal and Signature

Nomination Form

CDSL

Dear Sir / Madam,

I/We the sole holder / Joint Holders / Guardian (in case of minor) hereby declare that :

- I/We do not wish to nominate any one for this demat account (Strike out what is not applicable) (Signatures of all Account holders should be obtained on this form.)
- I/We nominate the following person who is entitled to receive security balances lying in my / our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

BO Account Details													
DP ID	1	3	0	1	8	7	0	0	Client ID				
Name of the Sole / First Holder													
Name of Second Holder													
Name of Third Holder													
Nominee details													
First Name				Middle Name				Last Name					
Address													
City													
Country													
Telephone No.													
E-mail ID													
Relationship with BO (If any)						Date of birth (If nominee is a minor)							

As the nominee is a minor as on date, I / We appoint following person to act as guardian :

First Name				Middle Name				Last Name				
Address												
City												
Country												
Age												

To receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders.

This nomination is in ***accordance with the section 109 A of the companies act, 1956 and shall supersede any prior nomination made by me / us*** and also any testamentary document executed by me / us.

Place : _____

Date : _____

	First/Sole Holder	Second Holder	Third Holder
Name			
Specimen Signatures			

Note : Two witnesses shall attest signature(s) / Thumb impression(s).

Details of the Witness	First Witness	Second Witness
Name of Witness		
Address of Witness		
Signature of Witness		

(To be filled by DP)

Nomination accepted and registered vide Registration No. _____ dated _____

For Bank of Baroda

(Authorized Signatory)

(Please Tear Here)

Acknowledgement

Received Nomination form / change of nomination request as per details given below :

Application No.						Date										
DP ID	1	3	0	1	8	7	0	0	D	D	M	M	Y	Y	Y	Y
Name/s of the Account Holder/s						Client ID										
Name of Nominee																

For Bank of Baroda

(Branch) Participant Seal

(Authorized Signatory)

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise :

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P. J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Bank of Baroda

CENTRAL BACK OFFICE DP OPERATIONS
UTI TOWER, 2ND FLOOR, GN BLOCK, BKC, MUMBAI - 400 051.

INSTRUCTIONS FOR OPENING A DEMAT ACCOUNT :

1. **All communication** shall be sent at the address of the **Sole/First holder only**.
2. Fill the form in **Block letter** and **Signature in Black Ink only and Strike off** whichever is not applicable.
3. Give your **Bank A/c No.** with full address and MICR number. Please enclose a cheque leaf duly "Cancelled".
4. Paste **latest Colour Photograph/s** of Account Holder/s and **Sign across the Photograph**.
5. **Agreement on Rs.100/- Non-Judicial Stamped paper or franking.** Signature of account holder/s required on each page of the Agreement and on the last page of the Agreement. Signature of witness along with name and address is required.
6. Where the holder is a minor, person lawful entitled to act on behalf of the minor should sign the Account Opening Form. Please enclose date of Birth Certificate in case of minors.
7. In case of additional signatures (for accounts other than individuals), separate annexure should be attached to the application form.
8. In case of application under a Power of Attorney (POA), the relevant Power of Attorney or the certified and duly notarized copy thereof, Name of the POA, Signature of the POA, must be lodged with the DP along with the application.
9. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Details of the names, address and Tel. No. etc. of the Magistrate/Notary Public/SEM are to be provided in case of any attestation done by them.
10. As per SEBI Circular No. MRD/DoP/Dep/Cir-29/2004 dated August 24, 2004 a copy of any one of the following documents may be accepted as proof of identity / proof of address (local/correspondence/foreign address as the case may be):
Proof of Identity : Passport, Voter ID Card, Driving license, PAN card with photograph, MAPIN card, Identity card/document with applicant's Photo, issued by:
a) Central/State Government and its Departments, **b)** Statutory/Regulatory Authorities, **c)** Public Sector Undertakings, **d)** Scheduled Commercial Banks, **e)** Public Financial Institutions, **f)** Colleges affiliated to Universities, **g)** Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and **h)** Credit cards/Debit cards issued by Banks.
Proof of Address : Ration card, Passport, Voter ID Card, Driving license, Bank passbook, verified copies of Electricity bills (not more than **two months old**)/ Residence Telephone bills (not more than **two months old**)/ Leave and License agreement / Agreement for sale, Self-declaration by High Court & Supreme Court judges, giving the new address in respect of their own accounts, Identity card/document with address, issued by:
a) Central/State Government and its Departments, **b)** Statutory/Regulatory Authorities, **c)** Public Sector Undertakings, **d)** Scheduled Commercial Banks, **e)** Public Financial Institutions, **f)** Colleges affiliated to universities; and **g)** Professional Bodies such as ICAI, ICWAI, Bar Council etc., to their Members.
11. **Instructions related to nomination, are as below :**
 - I. The nomination can be made only by individuals holding beneficial owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. **If the account is held jointly all joint holders will sign the nomination form.**
 - II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange control regulations in force, from time to time.
 - IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
 - V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository against the legal heir.
 - VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
 - VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.

DOCUMENTS REQUIRED FOR OPENING A INDIVIDUAL DEMAT ACCOUNT

Copies of the documents should be verified with the original under stamp and signature of the DP/ Branch Official.

- a) **Account Opening Form (INDIVIDUAL)**
- b) **Agreement (Rs.100/- Non-Judicial Stamped paper or franking)**
- c) **PAN card of all holders (must be verified with the original under stamp and signature of the DP/Branch Official and validated from Income Tax web site:- <http://incometaxindiaefiling.gov.in/challan/enterpanforchallan.jsp>).**
- d) **Address Proof of all holders (must be verified with the original under stamp and signature of DP/ Branch Official)**
- e) **Leaf or copy of MICR cheque**
- f) **Paste latest passport size photograph on A/c opening form and sign across the photograph.**

NRI : All mandatory documents as above
➤ Foreign & Indian Address proof and Bank a/c indicating type of a/c as NRE/NRO.

MINOR : All mandatory documents as above **(The minor should be the first and sole holder in the account)**
➤ Minor's Pan Card and Birth Certificate. One passport size photograph of Minor and the Guardian with Guardian's signature across the photograph and proof of address and pan card copy.

HUF : All mandatory documents as above
➤ Pan Card of Karta and declaration giving details of the family members of the HUF with their names.

LETTER OF AUTHORITY FOR RECOVERY OF BANK CHARGES & ADDITIONAL INFORMATION :

The Asstt. General / Chief / Sr. Branch / Manager.

Bank of Baroda

_____ Branch

Date : _____

Place : _____

Dear Sir / Madam,

(1) Re : Authority for recovery of Bank's charges in respect of Demat Account No. _____

I / We hereby irrevocably authorize you to recover various Bank charges such as Commission / Fee / Postage / Annual Maintenance charges / Transaction charges etc. (subject to change from time to time) incidental to maintenance and operations of my/our Demat account **from** my / our following account :

SB / Current account No. : _____ MICR No. : _____

maintained with you / maintained with Bank's _____ branch,

Further, I / We undertake to maintain sufficient balance at all times to meet the recovery of bank's charges in connection with my / our Demat account. Failure on my / our part to maintain sufficient balance in above savings / current account shall not, however, impair in any way the right of Bank of Baroda from recovery of due charges. In case debit balance is created in my/our above savings / current account due to recovery of bank's charges, I / We authorize the bank to charge interest, on debit balance created, at the rate prescribed by bank from time to time.

(2) Re : Additional Information to be a submitted with Demat Account Opening Application Form

1.	Do you have other Demat account in any Branch/Branches of Bank of Baroda ? If so, please give details :	Name and Address of DP DP ID :Client ID :.....
2.	Do you have any Demat account in any other Bank(s)? If so, please give details	Name and Address of DP DP ID :Client ID :.....
3.	Do you have any Demat account with any other Depository Participant (DP) ? If so, please give details	Name and Address of DP DP ID :Client ID :.....

Yours faithfully,

S. No.	Name/s of Account Holder/s	Signature of Account Holder/s
1		
2		
3		

Verification by Branch DP of Bank of Baroda :

Signature/s Verified & Instructions Noted. Identity of the applicant/s verified and signature/s obtained in my presence.	
Name / Designation / Signature under stamp of the Bank of Baroda with Signature No. / EC No. Place : _____ Date : _____	

DEMAT SERVICE CHARGES

NO.	Type of Charges	Revised charges w.e.f. 02-07-2007
1.	Account Opening / Closing / Custody	NIL
2.	Annual Maintenance Charges (AMC)	For Individuals - Rs. 395/- p.a. For Non-Individuals - RS. 620/- p.a.
3.	Recovery of AMC	a) AMC will be recovered up front on monthly pro-rata basis in new accounts and thereafter on 1st April every year. b) 10% rebate will be given in AMC if customer is paying 3 years AMC in advance.
4.	Transaction Charges (Market & Off Market Sales)	Equity instruments 0.04% of market value subject to minimum Rs.23/- per transaction. Debt Instruments & Commercial Papers 0.035% of Market value subject to minimum Rs. 23/- per transaction.
5.	Demat Charges	Rs. 2.25 per certificate with minimum of Rs.34/- + Actual Postage / Courier Charges.
6.	Remat / Repurchase Charges	Rs. 34/- per ISIN + Actual Postage / Courier Charges.
7.	Demat / Remat Return handling Charges (Share/s returned un-demated / un-remated to branch for any technical reason (Not at Bank's fault) requiring to be resent to Registrar & Transfer Agent / Customer).	Rs 34/- per Return.
8.	Pledge creation / confirmation / Invocation	Rs. 113/- flat per ISIN per request (Including request for invocation of Pledge)
9.	Account Freezing / De-freezing	Rs. 57/- per request.
10.	Additional A/c Statement	Rs. 23/- per occasion.
11.	Signature Verification or any other Certificate issued per ISIN	Rs. 57/- per Signature / Certificate
12.	Other Charges	a) Stationery Charges :- A/c Opening Form - NIL (Stamp paper charges to be recovered on actual basis) b) One DIS Booklet of 10 leaves will be provided free of cost at the time of A/c opening and thereafter subsequent DIS will be issued @Rs.23/- per booklet of 10 pages. c) Change of Address / ECS :- Rs. 30/-
13.	Transfer of securities from one DP to another DP consequent to account closure.	Consequent to closure of account, No Charges to be levied, When Beneficiary Owner (BO) transfers all the securities lying in his account to another Branch of the same DP or to another DP of the same depository or another depository, provided the BO Account/s at transferee DP and at transferor DP are one and the same i.e. identical in all respects.
14.	Other Terms & Conditions :	a) The charges are inclusive of Service Tax and payable upfront. b) If the bills are not paid on due date of the bill, a penal interest of 2% p.m. will be charged. c) AMC will not be refunded in case account is closed during the middle of the financial year. d) The services not listed above will be charged separately. e) Cost of Non-Judicial stamp paper or franking is to be borne by the client. f) The charges are subject to revision from time to time at Bank's discretion. g) I/We irrevocably authorize you to debit my/our Bank Account with Bank of Baroda given in my/our Demat account application form for your charges from time to time.

 Signature of 1st Holder

 Signature of 2nd Holder

 Signature of 3rd Holder

Agreement Between A Participant And A Person Seeking To Open A Beneficial Owner's Account

This Agreement made and entered into at _____ this _____ day of _____, between **Bank of Baroda**, (*description of the legal entity*) having his/its office/registered office at **Baroda**, hereinafter called "**the Participant**" of the One Part; AND
Mr./Mrs./Miss/M/s. _____
_____, (*description of the legal entity*) having his/ its office/ registered office/ address at

_____, hereinafter called "the Beneficial Owner" of the Other Part.

WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow :

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS:

General Clause

1. The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

Fees, Charges and deposits

2. The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid ¹[not exceeding 18%] per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest, if any.

Statement of Account

3. The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month, if there has been even a single entry or transaction during that month, and in any event once at the end of each ' [quarter]. Such statement shall be in the form specified in Operating Instructions. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

Beneficial Owner shall intimate change of particulars

4. The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

Participant not liable for claims against Beneficial Owner

5. CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

Authorised Representative

6. Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Closure of Account

7. The Beneficial Owner may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating

Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

Stamp Duty

8. Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

Force Majeure

9. Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or injury caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

Service of Notice

10. Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

Arbitration

11. The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye Laws.

Jurisdiction

12. The parties hereto agree to submit to the exclusive jurisdiction of the courts in _____.

Governing Law

13. The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED

by the withinnamed the Participant **BANK OF BARODA**
by the hand of its authorized representative

Shri _____

For Bank of Baroda

Branch Manager

(Signature with stamp of DP Branch Official)

in the presence of

Shri _____

.....
(Signature of witness for Bank of Baroda)

SIGNED AND DELIVERED

by the withinnamed the Beneficial Owner

1st Holder

2nd Holder

3rd Holder.....

in the presence of Shri _____

.....

(Signature of Witness for BO)

Address _____
