

ANNEXURE 'F' APPLICATION FOR "BARODA ADVANCE AGAINST SECURITIES"

I request you to grant me/us a loan facility of Rs. _____under Scheme for Baroda Advance against Securities. To enable you to consider the proposal, I/ We submit the following particulars along with the list of securities proposed to be pledged. 1st Applicant 2nd Applicant 3rd Applicant 1st Applicant Please complete all sections in BLOCK LETTERS and tick (□) options wherever applicable Name: ____(First name) (Middle name) (Last name) PAN No.:_____UID:______Passport No: _____ DD/MM/YYYY Date of Birth Present/ Communication address: Age Single/ Married/ Divorced/ Widowed Marital Status _____ City:_____ Gender Male/ Female/ Other State:_____Pin code: _____ Phone number: (O) _____(R) □ Salaried Years in current address:_____ □ Self-employed **Employment** Email ID status (Please Professional tick) Mobile No ☐ House wife □ Others Changed Residence in past 3 years: □ Not Changed □ Once □ More than Once



Previous address (If any):		Permanent ad address in Ind	ddress (In case of NRI, local dia)			
City:Pin code:		City: State:Pin code:				
Family Details: Father's/Husband's name: No. of dependents: No. of children:	ather's/Husband's name: Under G Higher's Graduate		Banking with us (Y/N): If yes, then fill the following: Banking with us since: (MM/YYYY) Account type: Account Number: Branch name:			
Please select from the following: Other General Sr. Citizen NRI Staff If yes, EC No			Category (Please tick): General SC ST OBC Other Minority			



Salaried: Please tell about your work:	Working with:
Employer name:	□ Govt./Public sector
Department:	□ Public Ltd
	□ Private Ltd
Address:	□ Partnership
	□ Proprietorship
City:State:	□ MNC
Pin code:	Years with employer:
Phone no:Extn No:	Contact person:
Fax no:	Name of previous employer:
Company email:	Retirement age:
Designation: Executive/ Managerial/ Clerical	<u> </u>
Self-employed/Professionals: Please tell about your	Business details:
work:	□ Private Ltd. Co.
Name of company/firm:	□ Partnership
Activity:	□ Proprietorship
Address:	□ Others
	Established since:
City:State:	If professional, then choose one of below
Pin code:	□ Doctor
Phone no:Extn. No:	□ Architect
Fax no:	□ CA
Company email:	□ MBA
Designation: Promoter/ Partner/ Director/ Other	□ Engineer
Years in business/profession:	□ Lawyer
Contact person:	□ Others (Please specify):



Other facilities Required			
Cheque Book	□ Yes	□ No	
Mobile Banking	□ Yes	□ No	
Internet Banking	□ Yes	□ No	

Is securities proposed to be pledged are held in Joint Name (Y/N) (if yes, please fill separate details joint holders)

DETAILS OF ASSETS HELD BY APPLICANT

Deposit accounts (Savings/ Current)

Name of Bank	Branch	Branch telephone number	Number of years account held	Account type	Account Number	Account balance (Rs.)	As on date

Life Insurance Policies

Policy No.	Date of issuance	Sum Assured	Insurer Co. & Branchname	Annual Premium	Premium paid or surrender value

Shares/ Debentures/ Term deposits / Govt. Securities (NSC/KVP/PPF etc.)

Co./ Bank/ Post Office	Date of Instrument	Face Value	Present value	Due date	Whether encumbered

Other movable assets:

Descriptions	Descriptions Year of acquiring		Present Value	Valuation date

DETAILS OF LIABILITIES OF APPLICANT:

Outstanding Loan details in individual name

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)



Outstanding Loan details of firm/	company where	vou are interested as Pro	prietor/ Partner/ Director

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loan details in which applicant is guarantor

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loans against securities

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Net worth

Particulars	Amount (In Rs.)
1. Total movable	
2. Total immovable	
3. Total assets (1+2)	
4. Total liabilities	
5. Net assets (3-4)	

I enclose / submit documentary proof in support of the above submissions.

Particulars of Legal Heirs:

Sr. No.	Name	Relationship with applicant	Age	Present Address (If different from applicant's address)



I declare that the information submitted above is true and correct to best of my knowledge and belief.

Declaration: -					
I hereby declare that all the particulars and information furnished in the application form are true, correct and complete and up-to-date in all respects. I have not withheld any information. I confirm that I have had no insolvency proceedings initiated against me nor have I ever been adjudicated insolvent. I also authorize Bank of Baroda or its agent to make references and enquiries relative to information in this application which Bank of Baroda considers necessary. I also authorize Bank of Baroda to exchange, share, part with all information relating to my loan details and repayment history information to other Banks/Financial institutions/ Credit Bureaus/Agencies as may be required and shall not hold Bank of Baroda liable for use of this information. I undertake to inform Bank of Baroda regarding any change in my residence/ employment/ Occupation/ Transfer and to provide any other information that Bank of Baroda may require. I further agree that my loan shall be governed by the rules of Bank of Baroda which may be in force from time to time. Bank of Baroda reserves the right to reject any application without providing any reason.					
I further declare that I am not a Director in any Bank. There is co. in which I am the proprietor / a partner/ a Director.	no litigation against the firm	or me /			
I am / was not interested as Proprietor / partner/ Director in any in caution list of RBI/ ECGC.	firm/ company whose name	e is listed			
I under take to bear processing, service, documentation charges to time. I agree, note and understand that Bank of Baroda sha call back, the entire loan/advance whether due and payable or reason and enforce its rights, remedies and securities.	all be entitled at its sole disc	cretion to			
Yours faithfully,					
	Please affix recent photograph				
Name:					
Place: Date:					
<u></u>					



IMPORTANT INFORMATION FOR APPLICANTS OF LOAN AGAINST SECURITIES

FEES & CHARGES:

FEES & CHARGES.	
Unified Processing Charges	New Account: 0.35% of sanctioned amount subject to Minimum Rs. 500.00 and maximum Rs. 2,500.00 (Upfront) (in case of Baroda etrade account holder, maximum processing charges are Rs. 1,000.00)
	 Renewal/ Enhancement: 0.35% p.a. of sanctioned amount subject to minimum of Rs. 250.00 and maximum Rs. 1,250.00 (in case of Baroda e-trade account holder, maximum renewal charges are Rs. 500.00)
	 In case of new account, minimum charges to be collected upfront and are non-refundable. The above charges will be unified processing charges which includes a. Bureau report (CIBIL) Charges (Upto -3- applicants, above -3- applicants: Rs. 100.00 per person will be charged) Documentation/ Vetting Charges Inspection Charges Pledge Charges (Creation/ Invocation/ Closure) The inclusive pledge charges are apart from any charges collected by borrower's depository participant directly. Any brokerage, transaction charges or any statutory charges will be borne by the applicant on actual basis. Any stamp paper/ duty/ franking charges or any other statutory charges to be borne by the applicant on actual basis.
Account operation Charges	For all other banking charges relating to banking services and operations inaccounts, please refer to www.bankofbaroda.com/servicecharges.asp
Prepayment Charges	5% of sanctioned limit if closed within -12- months of availing the facility



LIST OF DOCUMENTS TO BE SUBMITTED:

	Passport size photograph of all the applicants PAN Card Any one address proof (Aadhaar card, Voter ID, Passport, Driving License, Job Card issued by NREGA duly signed by an officer of the State Government, Letter issued by the National Population Register containing details of name and address) Latest Demat Account Statement, if applicable Client Master List for the Demat account, if applicable Copy of last six month primary bank statement Copy of two years income tax return. Cheque/ Draft/ Debit Authority for unified processing charges. Details of Securities proposed to be pledged.
ADDI7	TIONAL DOCUMENTS FOR NON RESIDENT INDIVIDUAL:
	Passport For Overseas Address, any one (Driving License, Government issued National ID having address at country of residence, Utility Bill (Electricity, Water, Gas, Telephone, Post-paid Mobile) – Not more than 2 months old, Registered Tenancy/ Rent/ Lease Agreement, Property or Municipal Tax Receipt, Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public secto undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation, Original latest overseas bank account statement carrying overseas address – Not more than 2 months old, Employer's Certificate confirming Overseas address) Copy of the Visa stamped on the passport In case of OVDs presented by OCI/ PIO does not contain details of address, in such cases letter issued by foreign embassy/ mission in India or OCI/ PIO card having overseas address may be accepted along with OVDs
	ACKNOWLEDGEMENT TO THE APPLICANTS FOR APPLICATION:
We ha	ave received the application of Loan against Securities from Mr./Mrsat/(HH/MM).
The fo	llowing is the status of receipt of documents:- Complete Documents received Complete Documents not received and advised to customer for requirements
For Ba	ank of Baroda Branch



TO BE FILLED FOR CO-APPLICANTS (S) IF ANY

Please complete all sections in BLOCK LETTERS and tick (\square) options wherever applicable

Name:	Name:									
(First name)	(Middle name) (Last name)								
PAN No.:	UID:	Passport No:								
	DD/MM/YYYY	Present/ Communication address:								
Age										
Marital Status	Single/ Married/ Divorced/ Widowed									
Gender	Male/ Female/ Other	City:								
Employment status (Please tick)	 □ Salaried □ Self-employed □ Professional □ House wife □ Others 	State:Pin code: Phone number: (O)(R) Years in current address: Email ID								
Previous address	(If any):	Permanent address (In case of NRI, local address in India)								
State:	Pin code:									



Family Details: Father's/Husband's name: No. of dependents: No. of children:	Education (please to Matric or below) Under Graduate Higher secondar Graduate Post-graduate Doctorate/ Professional December	matric / ry	Banking with us (Y/N): If yes, then fill the following: Banking with us since: (MM/YYYY) Account type: Account Number: Branch name:
Please select from the following: Other General Sr. Citizen NRI Staff If yes, EC No Place of present posting:			Category (Please tick): General SC ST OBC Other Minority
Salaried: Please tell about your wo Employer name: Department: Address:		□ Pul□ Pri□ Pa	g with: vt./Public sector blic Ltd vate Ltd rtnership oprietorship
City:State: Pin code: Phone no:Extn N Fax no: Company email: Designation: Executive/ Manageria	lo:	□ MN Years v Contact Name of	•



Self-employed/	<u>Profess</u>	ionals: Pleas	se tell about y	<u>our</u>	Business details:					
work:					□ Priva	ate Ltd.	Co.			
Name of compa	any/firm	<u> </u>			□ Partnership					
Activity:					□ Prop	orietorsh	nip			
Address:					·					
							nce:			
City:	City:State:						, then cho	ose	one of	below
Pin code:		_			□ Doo					
Phone no:Extn. No:					□ Arc	hitect				
Fax no:					□ CA					
Company email	il:				□ MB	Α				
Designation: Promoter/ Partner/ Director/ Other					□ Eng	□ Engineer				
Years in busine	ess/profe	ession:			□ Lav	□ Lawyer				
Contact person	:				□ Others (Please specify):					
					1 – • • • •	_ care (r reace specify):				
DETAILS OF AS				<u>T</u>						
Deposit accoun	ts (Savi	ngs/ Current Branch		of.				Λ.α	count	
Name of Bank	Branc		e years		Account type	I Dalance I			As on date	
Life Insurance P	olicies									
Policy No.		Date of issuance	Sum Assured		nsurer Co Branch nar		Annual Premium	P	remium surrende	n paid or er value
		issuarice	Assureu	_						51 Talas
Shares/ Dehent	ures/ Te	rm denosits	/Govt Secur	ities	(NSC/K\/	/P/PPF	etc.)			
Co./ Bank/ Post		Date of	Face Val		,				ether nbered	
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Descriptions	Year of acquiring	Purchase price	Present Value	Valuation date

DETAILS OF LIABILITIES OF CO-APPLICANT:

Outstanding Loan details in individual name

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loan details of firm/ company where you are interested as Proprietor/ Partner/ Director

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Outstanding Loan details in which applicant is guarantor

Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)

Outstanding Loans against securities

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	Financer	Purpose	Security	Date of sanction	Loan Amount	Present Outstanding	EMI	Overdue amount (if any)	Overdue since (if overdue)



N	et	wo	rth
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Particulars	Amount (In Rs.)
1. Total movable	
2. Total immovable	
3. Total assets (1+2)	
4. Total liabilities	
5. Net assets (3-4)	

I enclose / submit documentary proof in support of the above submissions.

Particulars of Legal Heirs:

Sr. No.	Name	Relationship with co-applicant	Age	Present Address (If different from coapplicant's address)



I declare that the information submitted above is true and correct to best of my knowledge and belief.

Declaration: -							
I hereby declare that all the particulars and information furnished in the application form are true correct and complete and up-to-date in all respects. I have not withheld any information. I confirm that I have had no insolvency proceedings initiated against me nor have I ever been adjudicated insolvent. I also authorize Bank of Baroda or its agent to make references and enquiries relative to information in this application which Bank of Baroda considers necessary. I also authorize Bank of Baroda to exchange, share, part with all information relating to my loan details and repayment history information to other Banks/Financial institutions/ Credit Bureaus/Agencies as may be required and shall not hold Bank of Baroda liable for use of this information. I undertake to inform Bank of Baroda regarding any change in my residence/ employment/ Occupation/ Transfer and to provide any other information that Bank of Baroda may require. I further agree that my loan shall be governed by the rules of Bank of Baroda which may be in force from time to time. Bank of Baroda reserves the right to reject any application without providing any reason.							
I further declare that I am not a Director in any Bank. There is no litigation against the firm or me of co. in which I am the proprietor / a partner/ a Director.							
I am / was not interested as Proprietor / partner/ Director in any in caution list of RBI/ ECGC.	firm/ company whose name	is listed					
I under take to bear processing, service, documentation charges to time. I agree, note and understand that Bank of Baroda sha call back, the entire loan/advance whether due and payable or reason and enforce its rights, remedies and securities.	all be entitled at its sole disc	retion to					
Yours faithfully,							
	Please affix recent photograph						
Name:							
Place: Date:							
Dale.							



DETAIL WITH RESPECT TO SECURITIES PROPOSED TO BE PLEDGED (To be submitted by borrower along with application)

The Branch Head							
Bank of Baroda	anch						
	ariori						
Dear Madam/ Sir							
Depository Partic	cipant Name	e					
DP ID							
Beneficiary Acco	unt No						
Please find below securities.	the details	of securities	s proposed	l to be pledo	ged for avail	ing the loan a	gainst
ISIN	Scrip / Scheme Name	Depository Participant	Quantity	Face Value	Present Value	Total Value	Stock Exchange
	ТОТ	AL VALUE					
Yours faithfully							,
(Borrower Name)							
Date:		Р	lace:				
The shares are to	be pledged	d in favor of	Bank of Ba	aroda			
Depository	DP ID	<u> </u>	BOB Clie	nt ID			
NSDL	IN30087	0	10471981				

00469054

CDSL

13018700



ANNEXURE 'G' DECLARATION FROM NON REDSIDENT INDIVIDUALS

Bank of Baroda,		

Dear Sir,

I/ We hereby declare that:

- Advance against Security Overdraft Facility will be used for contingencies and personal needs only.
- Loan amount shall not be credited to NRE/ FCNR account of the borrower
- Loan amount shall not be remitted outside India

I/ We declare that this facility will not be used for the following purposes:

- For speculative or anti-social purposes
- To acquire or retain a controlling interest in the company / companies or to facilitate or retain inter-corporate investments
- To subscribe to another scheme of Mutual Funds/ Purchase of shares/ debentures/ bonds etc.
- Relending or carrying on agricultural/ plantation activities or for investment in real estate business
- Business of Chit Fund Company
- Trading in Transferrable Development Rights (TDRs)
- Investments in capital market including margin trading and derivatives

Further, I declare that:

- I have not availed the facility of loan against security from the banking system. Advance against security of shares, equity mutual funds, bonds, debentures, alternate investment funds does not exceed Rs. 20.00 Lakhs after adding all the loans against shares, equity mutual funds, bonds, debentures, alternate investment funds from the total banking system. Also, Advance against debt mutual funds does not exceed Rs. 5.00 Crores after adding all the loans against debt mutual funds from the total banking system
- Also, I have noted that any Change in policy about regarding margin, rate of interest etc. announced by Reserve Bank of India or Bank of Baroda will be binding on the on me as the borrower.

(SIGNATURE)